Average Risks of Breast and Ovarian Cancer Associated with *BRCA1* **or** *BRCA2* **Mutations Detected in Case Series Unselected for Family History: A Combined Analysis of 22 Studies**

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Germline mutations in *BRCA1* **and** *BRCA2* **confer high risks of breast and ovarian cancer, but the average magnitude of these risks is uncertain and may depend on the context. Estimates based on multiple-case families may be enriched for mutations of higher risk and/or other familial risk factors, whereas risk estimates from studies based on cases unselected for family history have been imprecise. We pooled pedigree data from 22 studies involving 8,139 index case patients unselected for family history with female (86%) or male (2%) breast cancer or epithelial ovarian cancer (12%), 500 of whom had been found to carry a germline mutation in** *BRCA1* **or** *BRCA2.* **Breast and ovarian cancer incidence rates for mutation carriers were estimated using a modified segregation analysis, based on the occurrence of these cancers in the relatives of mutation-carrying index case patients. The average cumulative risks in** *BRCA1-***mutation carriers by age 70 years were 65% (95% confidence interval 44%–78%) for breast cancer and 39% (18%–54%) for ovarian cancer. The corresponding estimates for** *BRCA2* **were 45% (31%–56%) and 11% (2.4%–19%). Relative risks of breast cancer declined significantly with age for** *BRCA1-***mutation carriers (***P* **trend .0012) but not for** *BRCA2-***mutation carriers. Risks in carriers were higher when based on index breast cancer cases diagnosed at** !**35 years of age. We found some evidence for a reduction in risk in women from earlier birth cohorts and for variation in risk by mutation position for both genes. The pattern of cancer risks was similar to those found in multiple-case families, but their absolute magnitudes were lower, particularly for** *BRCA2.* **The variation in risk by age at diagnosis of index case is consistent with the effects of other genes modifying cancer risk in carriers.**

Introduction

Mutations in the breast and ovarian cancer–susceptibility genes *BRCA1* (MIM 113705) (Miki et al. 1994) and *BRCA2* (MIM 600185) (Wooster et al. 1995; Tavtigian

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et al. 1996) are found in a high proportion of multiplecase families with breast cancer, especially if they also include one or more case patients with ovarian cancer (Ford et al. 1994). Screening for mutations in these genes for predictive genetic testing has become widespread, with 1750 protein-truncating mutations in these genes having been identified (see the Breast Cancer Information Core [BIC] Web site). Some women found to carry such mutations undergo prophylactic mastectomy and/or oophorectomy, because their cancer risk is extremely high. However, although it is very clear that mutations in these genes, segregating within these types of families, confer a sub-

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Table 1

^a Br = breast cancer; Ov = ovarian cancer

^b Carrying 185delAG and 5382insC.

^c Carrying 185delAG, 5382insC, and 6174delT.

^d Carrying 6174delT. ^e Carrying 999del5.

stantial risk of both breast and ovarian cancer, the same may not apply to mutations detected in other settings, such as in families with less-extreme cancer histories or in incident cases, even those of early onset.

Several approaches have been used to estimate the average age-specific cumulative cancer risks, or penetrance, associated with mutations in *BRCA1* and *BRCA2.* Early estimates applied the maximum-LOD-score (or linkage) method to multiple-case families collected for linkage studies for the identification of disease loci (Easton et al. 1993; Clerget-Darpoux 2001). Subsequent penetrance estimates have used the incidence of cancer in the relatives of mutation-carrying index case patients from case series unselected for family history. Analytically, these are the same method (i.e., a type of segregation analysis) applied with different corrections for family ascertainment. Both should give consistent estimates of penetrance, provided that the same penetrance function applies to all carriers. Different estimates will arise, however, either if the penetrance is mutation specific or if the penetrance is modified by other risk factors, genetic or environmental, that aggregate in families. Either of these phenomena would lead to a higher actual penetrance for mutations segregating in multiple-case families than for mutations segregating in the population as a whole. Some authors (e.g., Begg 2002) have described the penetrance estimates derived in this way as biased (Begg 2002). This is correct in the sense that they do not reflect the *average* risks to

all carriers in the population. In practice, a counsellor is rarely interested in the risks to the "average" carrier. Virtually all genetic testing is conducted on women in families with multiple cases of the disease—the types of families from which the original penetrance estimates were derived. Some women are tested on the basis of weaker family histories or on the basis of having earlyonset disease; risk estimates derived by studying the cancer incidence in relatives of population-based series of women with breast or ovarian cancer may then be more appropriate.

Published penetrance estimates are summarized in table 1. Breast and ovarian cancer risk estimates are generally higher in studies that are based on multiple-case families (Ford et al. 1994, 1998; Easton et al. 1995) than in those that are based on unselected series (Thorlacius et al. 1998; Hopper et al. 1999; Warner et al. 1999; Anglian Breast Cancer Study Group 2000). Another study, based on the family histories of 120 Ashkenazi Jewish volunteers in whom one of three different founder mutations common to this population had been identified, also reported lower penetrance estimates than reports based on multiple-case families (Struewing et al. 1997). These penetrance estimates are averages over the mutations segregating in the families in which mutations have been identified. There are, however, data to support the hypothesis of allelic risk heterogeneity, such that different mutations confer different risks. Specifically, *BRCA2* mutations that occur in families with one or more cases of ovarian cancer tend to cluster in a central portion of the gene, termed the "ovarian cancer cluster region" (Gayther et al. 1997; Thompson and Easton 2001). A study of Breast Cancer Linkage Consortium (BCLC) families has shown that mutations in the ovarian cancer cluster region are associated with both a lower risk of breast cancer (relative risk [RR] 0.63) and a higher risk of ovarian cancer ($RR = 1.9$), as compared to mutations outside this region. Another study, based on probands with ovarian cancer, found that the *BRCA2* associated breast cancer risk was associated only with mutations outside the ovarian cancer cluster region (Risch et al. 2001). Evidence for a genotype-phenotype correlation in *BRCA1* has also been found. Gayther et al. (1995) have found that the risk of ovarian cancer relative to the risk of breast cancer was higher in families with protein-truncating mutations in the first twothirds of the gene than in families with protein-truncating mutations in the last one-third of the gene. More recently, Thompson and Easton (2002) have found that mutations in a central region of *BRCA1* were associated with a lower risk of breast cancer, and Risch et al. (2001) have reported that the risk of breast cancer increases with mutation position, from $5'$ to $3'$.

Penetrance estimates based on multiple-case families may be inappropriate for the counselling of women without a strong family history of disease who have been found to carry a germline mutation in *BRCA1* or *BRCA2.* Although estimates based on mutation testing in case series unselected for family history are more appropriate in this context, published estimates from individual studies have lacked precision, with most studies having identified a few dozen mutations at most. To improve the precision of penetrance estimates based on unselected case series, we have combined data from a large number of such studies into a formal meta-analysis. This combined data set has also allowed us to examine variations in penetrance by type of mutation, type and age at diagnosis of index case, birth cohort, and study center.

Subjects and Methods

Studies

Studies were eligible for this meta-analysis if all of the following criteria were met: (1) The study was based on mutation testing of a series of index cases either of female or male breast cancer or of invasive epithelial ovarian cancer. (2) Index cases were sampled independently of family history (although they may have been selected by age at diagnosis or ethnic group). (3) Index cases had been tested for *BRCA1* and/or *BRCA2* mutations by a systematic screen conducted independently of family his-

tory (mutation screening may have been of the entire coding sequence, of some part of the sequence, or of specific founder mutations). (4) Enumeration of at least all firstdegree relatives of identified mutation carriers was available, along with ages at diagnosis of breast and ovarian cancers and ages at last observation.

Potentially eligible studies were identified by a literature search using Medline (National Library of Medicine) and by personal contact through the BCLC. We contacted 21 research groups, in total, that we believed to have data from one or more relevant studies, and we received data from 15. Participating investigators were asked to provide details on all recorded members of families in which the index case patient was found to have a *BRCA1* or *BRCA2* mutation. These details included date of birth, date of or age at diagnosis of any breast or ovarian cancer, and age at death or age at last observation. Data on cancers other than breast or ovarian cancer were sometimes also available but were not used in the present analysis. Investigators were also asked to provide details of each mutation identified.

Details of the studies included are given in table 2. Of the 22 studies included, 16 were conducted by ascertainment of female breast cancer index cases, 2 were conducted by ascertainment of male breast cancer cases, and 4 were conducted by ascertainment of ovarian cancer cases. Of the studies based on breast cancer index cases, 10 restricted ascertainment by age at diagnosis; in 9 of these, the upper limit for age at diagnosis was ≤ 50 years. None of the studies based on either male breast cancer index cases or ovarian cancer index cases imposed a restriction on age at diagnosis. Sixteen of the studies ascertained cases through a population-based cancer registry, whereas the remainder were based on unselected, hospital-based series. The recruitment method for the studies varied widely. Most studies obtained a blood sample and family-history data simultaneously, but some studies collected a blood sample first and then retrospectively obtained family-history data on mutation carriers whereas others obtained family history data first and collected a blood sample later. Furthermore, in some studies, not all available blood samples were analyzed.

Mutations were included in the present analysis if they were "pathogenic" according to the generally accepted criteria (see the BIC Web site)—that is, frameshift or nonsense mutations, splice-site mutations predicted to cause aberrant splicing, large deletions or duplications, and missense mutations classified as such by BIC. In practice, the last group included only mutations in the ring-finger domain of *BRCA1.* In-frame deletions and known polymorphisms or "unclassified variants" were not included. A variety of mutation-screening techniques was used by the studies. Of the studies, 14 screened for mutations in both genes, 6 screened for mutations in *BRCA1* only, and

^a Br = female breast cancer; MBr = male breast cancer; OV = ovarian cancer.

 $P =$ population based; H = hospital or clinic based.

bcdefg ASO p allele-specific oligohybridization; DGGE p denaturing gradient gel electrophoresis; DHPLC p denaturing high-pressure liquid chromatography; HA p heteroduplex analysis; PTT p protein-truncation test. Data from 134 case patients with borderline ovarian cancer were excluded from the present analysis.

Data from 332 case patients were available for analysis.

For the 19 mutations most common in Finland.

Family-history data obtained only for 10 of 17 mutation carriers.

2 screened for mutations in *BRCA2* only. Six studies investigated specific founder mutations (in the Ashkenazi Jewish, Icelandic, and Polish populations), whereas the remaining 16 studies screened the coding sequence of either or both genes.

Statistical Methods

*Kaplan-Meier estimation.—*The cumulative probabilities of breast and ovarian cancer in mothers and sisters of *BRCA1-* and *BRCA2-*mutation carriers were estimated using the Kaplan-Meier product-limit method, using the program Stata (version 7). For this analysis, censoring age was the age at breast cancer diagnosis, age at ovarian cancer diagnosis, age at last follow-up, or age 70 years, whichever occurred first. SEs and confidence limits were obtained using Greenwood's formula.

*Penetrance estimation.—*We used the information on disease occurrence in relatives of mutation-positive index case patients to estimate age-specific breast and ovarian cancer incidences in mutation carriers by maximum likelihood, using modified segregation analyses implemented in Mendel (Lange et al. 1988; Antoniou et al. 2001). This is essentially the same methodology and software as that used for penetrance analysis in multiple-case families (but with a different ascertainment correction). Relatives were assumed to be followed from age 20 years and to be censored at the age at first cancer diagnosis, at the age at death, at the age at last follow-up, or at age 70 years, whichever came first. Information on mutation status in relatives was incorporated when available. Females born before 1890 were excluded from the analyses. Individuals with no age information (608 females from entire data set) or no year of birth (53 females) were censored at age 0 years. To correct for ascertainment, we maximized the conditional likelihood of the pedigree given the phenotypic and genotypic information of the index case.

The main analyses were based on the fitting of fixed age-specific incidence rates for carriers. Initially, these rates were assumed to be independent of country of origin or year of birth, but we then explored variation in rates according to these covariates. Breast cancer incidence in carriers was assumed to follow $\lambda(t) = \lambda_0(t) \exp [g(t)],$ where $\lambda_0(t)$ is the background incidence for England and Wales (1973–77) and $\exp[g(t)]$ is the age-specific RR of breast cancer in carriers as compared to population rates. The ovarian cancer incidences were assumed to follow a similar model. Conditional on the genotype, the probability of developing breast cancer was assumed to be independent of the probability of developing ovarian cancer. We estimated the age-specific $log(RR)$ parameters $g(t)$ for five age groups: 20–29, 30–39, 40–49, 50–59, and 60–69 years. We then fitted models with carrier incidences parameterized in terms of rate ratios relative to country-, age-, and period-specific incidences. In all analyses, cancer incidences in noncarriers were assumed to follow country- and cohort-specific rates (Waterhouse et al. 1976, 1982; Muir et al. 1987; Parkin et al. 1992, 1997).

To test for differences in incidences among different subgroups, we fitted models in which we added a subgroup-specific log(RR) parameter. For example, to test for differences among centers, we fitted models including the five age-specific log(RR) estimates (for all centers) but also allowed an additional center-specific log(RR) (constant over age). A likelihood-ratio test was then used to test for heterogeneity of risk among centers. Similar tests were used to explore variations in incidences by year of birth, type of mutation, and type and age of index case patient. Trend tests were used to test whether the log(RR) estimates increased or decreased significantly with age.

To construct CIs for the log(RR) estimates, we assumed that the parameters were asymptotically normally distributed with the covariance matrix given by inverting the information matrix. Cumulative risk or penetrance and 95% CIs were calculated from the cumulative incidence $\lambda(t)$, where $\Lambda(t) = \sum_{k=1}^{n} i_k t_k \exp(\beta_k)$, where i_k is the incidence in noncarriers in the *k*th age band of length t_k and β_k is the ln(RR) in the kth age band. The variance of the cumulative risk is given by the expression

$$
var[\Lambda(t)] = \sum_{k=1}^{n} i_k^2 t_k^2 var(\beta_k) exp(2\beta_k)
$$

+2
$$
\sum_{j
$$

and the cumulative risk $F(t)$ is then given by $F(t) =$ $1 - \exp[-\Lambda(t)]$, with a 95% CI of $1 - \exp(-\Lambda(t))$ \pm 1.96 $\sqrt{\text{var}[\Lambda(t)]}$. Uncertainty in RRs for factors with more than two categories (e.g., center) is presented as floating CIs (Easton et al. 1991).

Results

The 22 studies included in the present analysis screened a total of 6,965 female breast cancer cases, 176 male breast cancer cases, and 998 ovarian cancer cases and identified 289 *BRCA1-* and 221 *BRCA2-*mutation carriers (table 2). Table 2 also shows the number of individuals eligible for each study, the number enrolled, and the number of samples analyzed. However, estimation of a response rate that is comparable across all studies is not possible, because of the variety of protocols used in recruitment and data gathering (see the "Subjects and Methods" section). Family-history data were not available for 12 mutation carriers, leaving 280 families of *BRCA1-*mutation carriers and 218 families of *BRCA2* mutation carriers in the present analysis. Among the

Figure 1 Kaplan-Meier cumulative breast (*upper lines*) and ovarian (*lower lines*) cancer probability in sisters (*thick lines*) and mothers (*thin lines*) of *BRCA1-*mutation–carrying index case patients.

first-degree relatives of *BRCA1-*mutation–positive index case patients, 125 breast cancers and 41 ovarian cancers were identified, and, among the first-degree relatives of *BRCA2-*mutation–positive index case patients, 87 breast cancers and 13 ovarian cancers were identified.

Kaplan-Meier Estimates

Figure 1 shows the age-specific cumulative probabilities of breast and ovarian cancer in mothers and sisters of *BRCA1-*mutation carriers, using Kaplan-Meier estimation. The estimated cumulative risks of breast cancer by age 70 years were 29% (95% CI 23%–35%) in mothers and 42% (95% CI 30%–56%) in sisters; the corresponding cumulative risks of ovarian cancer were 15% (95% CI 10%–21%) in mothers and 14% (95% CI 7.5%–24%) in sisters. Although the estimated breast cancer risks are higher in sisters than mothers at all ages, the difference in risks was not statistically significant (log-rank $P = .056$ for breast cancer; logrank $P = .75$ for ovarian cancer).

Figure 2 shows the corresponding Kaplan-Meier estimates for mothers and sisters of *BRCA2-*mutation carriers. The cumulative risks of breast cancer by age 70 years were 19% (95% CI 14%–26%) in mothers and 25% (95% CI 18%–34%) in sisters, whereas the cumulative risks of ovarian cancer were 5.1% (95% CI 2.7%–9.6%) in mothers and 4.5% (95% CI 1.7%– 12%) in sisters. Again, the differences in risks between mothers and sisters were not statistically significant for either cancer ($P = .12$ for breast cancer; $P = .53$ for ovarian cancer).

Average Penetrance Estimates

For the main analysis, we assumed that the age-specific incidences were the same for all mutation carriers and

that incidences in noncarriers were country and birthcohort specific. The RRs of breast and ovarian cancer in *BRCA1-* and *BRCA2-*mutation carriers, compared to population rates for England and Wales in 1973–77, are shown in table 3. For *BRCA1,* the breast cancer RR increased to 33 in the 30–39-years age group and decreased with age thereafter (*P* trend .012), whereas the ovarian cancer RR estimates showed no apparent trend with age. The estimated breast cancer RR to *BRCA2* mutation carriers was 19 in the 20–29-years age group and fell to ∼10 in older-age groups (*P* trend .98). Ovarian cancer RRs for *BRCA2-*mutation carriers were only estimated for ages ≥ 40 years, because there were no ovarian cancer cases diagnosed at <40 years of age in the first-degree relatives of *BRCA2-*mutation carriers. The RR increased to a maximum of 19 in the 50–59-years age group and then decreased.

The corresponding age-specific incidences are shown in table 4, and the cumulative cancer risks (penetrances) are shown in figures 3 and 4. The breast cancer incidence in *BRCA1-*mutation carriers increased with age up to age 45–49 years but remained roughly constant thereafter. The ovarian cancer rates were low below age 30 years and rose steeply with age thereafter, to ∼2% per annum, only slightly less than the breast cancer rates. The breast cancer incidence in *BRCA2-*mutation carriers increased progressively with age, whereas the ovarian cancer incidence increased up to age 55–59 years and then decreased slightly. The cumulative breast cancer risk by age 70 years in *BRCA1-*mutation carriers was estimated to be 65% (95% CI 51%–75%), and the ovarian cancer risk was estimated to be 39% (95% CI 22%–51%). For *BRCA2* mutation carriers, the cumulative breast cancer risk by age 70 years was estimated to be 45% (95% CI 33%– 54%), and that for ovarian cancer was estimated to be 11% (95% CI 4.1%–18%).

Figure 2 Kaplan-Meier cumulative breast (*upper lines*) and ovarian (*lower lines*) cancer probability in sisters (*thick lines*) and mothers (*thin lines*) of *BRCA2-*mutation–carrying index case patients.

^a As compared to incidences for England and Wales in 1973–77.

Analysis by Center

We investigated potential heterogeneity of risk among centers by fitting models with additional center-specific RR parameters. For *BRCA1,* we grouped the U.K., Canadian, Polish, and other centers (43, 124, 48, and 65 families, respectively). RRs by center are shown in table 5. The estimated cancer risks were somewhat lower in the Canadian and Polish families than in the U.K. and other families, but there was no significant evidence of heterogeneity $(P = .32)$.

For *BRCA2,* we grouped the U.K., Canadian, Icelandic, and other centers (44, 63, 69, and 42 families, respectively). Again, there was no significant evidence of heterogeneity $(P = .13)$. The estimated breast cancer risks for Canadian centers were lower than for the U.K. and Icelandic centers ($RR = 0.53$), and the ovarian cancer risk was higher ($RR = 3.1$). There was also some suggestion of higher cancer risks for "other centers" as compared to the U.K. center ($RR = 1.4$ for breast cancer, and $RR = 4.2$ for ovarian cancer).

Effect of Year of Birth

We investigated the effect of birth cohort on breast and ovarian cancer risks by fitting models with additional parameters for birth cohorts (before 1920, 1920–39, 1940–59, and from 1960 onward for breast cancer; before 1920, 1920–39, and from 1940 onward for ovarian cancer). For *BRCA1-*mutation carriers, we found higher risks for both breast and ovarian cancer ($P = .011$ and $P = .0013$, respectively) in more-recent birth cohorts (table 5). The RR of breast cancer in *BRCA2-*mutation carriers also increased with more-recent birth cohort (table 5), but not significantly $(P = .16)$. There were too few ovarian cancer cases among the first-degree relatives of the index case patients to assess cohort effects on ovarian cancer risk in *BRCA2-*mutation carriers.

Effect of Type of Index Case

One hundred seventeen families were ascertained through a *BRCA1-*mutation–carrying index case patient with ovarian cancer, and 163 families were ascertained through a *BRCA1-*mutation–carrying index case patient with breast cancer. We fitted models, adding an RR parameter for type of index case (table 5). The breast cancer risk for *BRCA1-*mutation carriers ascertained through an ovarian cancer index case was lower than that for carriers ascertained through a breast cancer index case (RR = 0.60 [95% CI 0.38–0.94]; cumulative risk by age 70 years 56% vs. 72%). The ovarian cancer risks, however, did not differ significantly by type of index case (RR = 0.86 [95% CI 0.42–1.8]).

Families ascertained through a breast cancer case were subdivided further by age at diagnosis of the index case. The breast and ovarian cancer risk estimates were higher in the families of the early-onset index cases, although only the breast cancer effect was significant (table 5) (breast cancer RR = 2.2 [95% CI 1.4–3.3]; ovarian cancer RR = 1.8 [95% CI 0.82–4.0]). On the basis of this analysis, the breast cancer risk for *BRCA1-*mutation carriers for families ascertained through early-onset index cases was estimated to be 87% (95% CI 67%–95%) by age 70 years, and the ovarian cancer risk was estimated to be 51% (95% CI 9.1%–73%), compared to 61% (41%–74%) and 32% (11%–49%) for families ascertained through an older index case patient. The risk estimates for older index case patients with breast cancer were comparable to those for ovarian cancer index case patients (54% and 36%).

Fifty *BRCA2-*mutation–positive families were ascertained through an ovarian cancer index case, 148 were ascertained through a female breast cancer index case, and 20 were ascertained through a male breast cancer index case. Of the female index case patients, 46 received a diagnosis at <35 years of age, and 102 received a diagnosis at ≥ 35 years of age. The estimated breast cancer risk in carriers ascertained through a breast cancer index case was higher than in those ascertained through an ovar-

Table 4

Estimated Breast and Ovarian Cancer Incidence (%) in Mutation Carriers

Age Group	ESTIMATED CANCER INCIDENCE for Carriers of Mutations in				
	BRCA1		BRCA2		
	Breast Cancer	Ovarian Cancer	Breast Cancer	Ovarian Cancer	
20–24 years	.02	.001	.02	.001	
$25-29$ years	.11	.002	.12	.002	
30-34 years	.74	.18	.36	.004	
35-39 years	1.59	.28	.78	.01	
$40-44$ years	2.92	.87	.91	.08	
45–49 years	4.28	1.49	1.34	.14	
$50 - 54$ years	2.65	.96	1.76	.60	
$55-59$ years	3.01	1.19	2.00	.75	
$60-64$ years	2.70	2.26	2.17	.38	
$65-69$ years	2.96	2.49	2.38	.42	

Figure 3 Cumulative risk of breast (\bullet) and ovarian (\blacksquare) cancer in *BRCA1-*mutation carriers.

ian cancer index case (RR = 0.42 [95% CI 0.20–0.88]). Conversely, the ovarian cancer risk was higher in the families ascertained through an ovarian cancer index case $(RR = 2.4$ [95% CI 0.74–8.1]). There was no evidence of a difference in risk according to whether the index case patient with breast cancer was a male or a female who received a diagnosis at ≥ 35 years of age (RR = 1.3) [95% CI 0.65–2.7]). Among carriers ascertained through a female breast cancer index case, there was no significant difference in the breast cancer risks according to whether the index case was diagnosed at $\lt 35$ years of age or at a later age (RR = 1.2 [95% CI 0.57–2.5]; cumulative risks by age 70 years 55% [16%–76%] vs. 49% [32%–61%]), but there was some evidence of higher ovarian cancer risk for families ascertained through early-onset breast cancer cases (RR = 13 [95% CI 2.4–70]; cumulative risks by age 70 years 35% [0.61%] vs. 3% [0–7%]).

Since ascertainment criteria varied by center, we also fitted models in which RRs for center and type of index case were fitted simultaneously. Under this model, the breast cancer risk for *BRCA1-*mutation carriers ascertained through index cases diagnosed as breast cancer at !35 years of age remained higher than that for carriers whose diagnosis was given at later ages ($RR = 2.2$ [95% CI 1.2–4.2]). Some suggestion of a higher ovarian cancer risk among carriers ascertained through ovarian cancer index cases, not evident from the univariate analysis, emerged when center was taken into account ($RR = 1.9$) [95% CI 0.67–5.2]). We were unable to fit the effects of index case and center for *BRCA2.* These effects were confounded because all the ovarian cancer index cases were from one center (Canada).

We also fitted models allowing for both type of index case and year of birth. The estimated effects that type of index case had on both breast and ovarian cancer risk were similar to those estimated previously. Thus, the RR based on breast cancer cases diagnosed at <35

years of age, relative to those diagnosed at later ages, was 1.9 (95% CI 1.1–3.3), whereas the RR for mutation carriers ascertained through an ovarian cancer index case was 0.84 (95% CI 0.51–1.37). Adjustment for type of index case did not materially affect the year of birth effect: the estimated RRs for mutation carriers born in the 1920–39, 1940–59, and 1960-onward cohorts were 1.8 (95% CI 0.88–3.6), 2.5 (1.2–5.3), and 4.9 (1.4–18), respectively. We fitted similar models for *BRCA2,* but the RRs for both the type-of-index-case effect and the birth-cohort effect were similar to those when each effect was considered individually.

Center- and Cohort-Specific Incidence Models

The apparent variation in incidence by center and birth cohort may reflect variations in population-specific incidence rates. We therefore also performed analyses in which we estimated the age-specific RRs in carriers relative to population- and cohort-specific incidence rates. The RRs estimated in these models were very similar to those estimated in the analyses that assumed a constant background incidence rate (table 6). We then fitted models allowing for heterogeneity between center and birth cohort. The RR estimates by center were very similar to those for the fixed incidences models, with no significant evidence for heterogeneity of risk by center for either gene.

The cohort effects were slightly less marked than the fixed incidence rate model. For *BRCA1,* the breast cancer RR estimates for the three later birth cohorts, relative to the before-1920 cohort, were as follows: 1920–39, 2.6 (95% CI 1.3–5.2); 1940–59, 3.1 (95% CI 1.5–6.5); and 1960 onward, 6.2 (95% CI 1.7–22.1). The ovarian cancer RRs for the 1920–39 and 1940–59 birth cohorts, compared to the before-1920 cohort, were estimated as 9.8 (95% CI 2.6–36.6) and 7.6 (95% CI 1.9–30.8), re-

Figure 4 Cumulative risk of breast (\bullet) and ovarian (\blacksquare) cancer in *BRCA2-*mutation carriers.

Table 5

	RR (95% FLOATED CI) FOR CARRIERS OF MUTATIONS IN				
	$BRCA1 (P = .32)$		$BRCA2 (P = .13)$		
CENTER	Breast Cancer	Ovarian Cancer	Breast Cancer	Ovarian Cancer	
United Kingdom ^a	$1.0(.55-1.8)$	$1.0(0.41-2.4)$	$1.0(.69-1.4)$	$1.0(.33-3.1)$	
Canada	$.51(.36-.71)$	$.34$ $(.19-.59)$	$.53(.30-1.0)$	$3.1(1.3-7.1)$	
Poland	$.47$ $(.28-.78)$	$.40(.18-.90)$	\cdots	\cdots	
Iceland			$1.0(.69-1.4)$	$1.0(.33-3.1)$	
Other	$.69$ $(.44-1.1)$	$.52(.25-1.1)$	$1.4 \left(.77 - 2.6 \right)$	$4.2(1.2-14)$	
	RR (95% FLOATED CI) FOR CARRIERS OF MUTATIONS IN				
	$BRCA1 (P = .015)$		$BRCA2 (P = .01)$		
TYPE OF INDEX CASE	Breast Cancer	Ovarian Cancer	Breast Cancer	Ovarian Cancer	
Breast cancer at ≥ 35 years ^a	$1.0(.68-1.5)$	$1.0(.53-1.9)$	$1.0(.68-1.5)$	$1.0(0.42 - 2.4)$	
Breast cancer at $<$ 35 years	$2.2(1.4-3.3)$	$1.8(.82 - 4.0)$	1.2 (.57–2.5)	$14(3.8-53)$	
Male breast cancer		\cdots	$1.3(.65-2.7)$	1.8 (.92–3.6)	
Ovarian cancer	$.83(.59-1.2)$	$1.1(.69-1.8)$	$.45(.23-.89)$	$6.5(2.1-21)$	
	RR (95% FLOATED CI) FOR CARRIERS OF MUTATIONS IN				
	$BRCA1 (P = .00001)$		$BRCA2 (P = .16)$		
YEAR OF BIRTH	Breast Cancer	Ovarian Cancer	Breast Cancer	Ovarian Cancer	
Before 1920 ^a	$1.0(.53-1.9)$	1.0 (.27–3.7)	$1.0(.60-1.7)$.	
1920-39	$2.7(1.9-3.8)$	$12(7.3-18)$	1.2 (.71–1.9)	.	
1940-59	$3.7(2.6-5.1)$	$9.5(4.9-19)$	$2.1(1.2-3.6)$.	
1960 onward	$7.7(2.6-23)$	\cdots	$4.8(1.0-23)$		

RRs for Mutation Carriers, as Compared to Baseline, for Models Allowing for Center, Type-of-Index-Case, and Year-of-Birth Effects

NOTE.—*P* values are from comparison of the fitted model with that of the basic model. Age-specific RRs for each of these models are not shown but in all cases were similar to the estimates for the basic model (table 4).

^a Baseline category.

spectively. There were no ovarian cancer cases in relatives born after 1960, so no RR parameter was estimated for this cohort. Both effects remained highly significant. For *BRCA2,* the corresponding breast cancer RRs were 0.94 (95% CI 0.49–1.8), 1.4 (95% CI 0.65–3.0), and 3.6 (95% CI 0.65–19), respectively. As with the fixed incidence rate model, the cohort effects were not significant for *BRCA2*-mutation carriers ($P = .26$). There were too few ovarian cancer cases among the first-degree relatives of the index case patients to assess cohort effects on ovarian cancer risk in *BRCA2-*mutation carriers.

Effect of Mutation Position

We investigated the possibility of allelic heterogeneity in risk by classifying mutations according to their position and by fitting models comparable to the previous BCLC analyses (Thompson and Easton 2001). Families with the *BRCA1* C61G missense mutation were excluded from the present analysis. *BRCA1* mutations were categorized into three groups as defined previously: nucleotides 1–2400 (137 index cases), 2401–4184 (55 index cases), and 4185 onward (88 index cases). The RR of breast cancer for mutations in the central region as compared to that for mutations in the $5'$ region was estimated to be 0.93, and that for mutations in the 3 region was estimated to be 1.4; the corresponding risks for ovarian cancer were 1.8 and 1.1. These models did not fit significantly better than the null model.

BRCA2 mutations were divided into those within the ovarian cancer cluster region (nucleotides 3059–6629 [97 index cases]) and those outside the ovarian cancer cluster region (mutations at all other nucleotides [121

Table 6

RR Estimates for Mutation Carriers, Based on Country- and Cohort-Specific Background Rates

	RR ESTIMATES (95% FLOATED CI) FOR CARRIERS OF MUTATIONS IN				
	BRCA1		BRCA2		
AGE GROUP	Breast Cancer	Ovarian Cancer	Breast Cancer	Ovarian Cancer	
$20-29$ years $30 - 39$ years $40-49$ years $50 - 59$ years $60-69$ years	18 (4.4–75) $36(25-52)$ $31(25-52)$ $16(9.6-27)$ $11(5.0-25)$	1.0 38 (17-88) $61(38-99)$ $30(14-65)$ 48 (22-109)	$19(4.4 - 82)$ $16(9.3-29)$ $9.5(5.9-15)$ $11(6.6-17)$ $9.2(5.1-17)$	1.00 1.00 $6.3(1.4-28)$ $19(9.1-41)$ $7.3(1.8-30)$	

index cases]). We fitted models in which the breast and ovarian cancer risks were allowed to vary between the two regions. The estimated breast cancer risk was lower among carriers of mutations in the ovarian cancer cluster region as compared to mutations outside the ovarian cancer cluster region (RR = 0.57 [95% CI 0.32–1.0]). The corresponding ovarian cancer RR was 2.1 (95% CI $0.62 - 7.0$.

Discussion

In this meta-analysis, we have used data from 22 studies that have tested patients with breast or ovarian cancer who were unselected for family history of germline mutations in *BRCA1* and/or *BRCA2* as a basis for the estimation of breast and ovarian cancer incidences and cumulative risks in mutation carriers. We are aware of a few studies that could not be included in the present analysis, and, undoubtedly, there are other such studies ongoing. Nevertheless, this overview represents the large majority of the available data, and, especially given the costs of such studies, it seems unlikely that much greater precision will be available in the near future from studies of this design.

The major perceived strength of this approach, as compared with the linkage approach based on multiple-case families, is that it produces estimates that are less susceptible to the effects of other familial risk factors and mutation-specific differences in risk. Although this is true, it is important to note that the families that we have analyzed were still selected on the basis of an affected index case patient, so that, in the presence of modifying risk factors, the estimated risks will be higher than the risks to a completely unselected mutation carrier. Nevertheless, since one affected relative would usually represent an absolute minimum criterion for genetic testing, it seems unlikely that risk estimates that lie much outside this range will be needed in any practical situation.

There are two other important advantages of this approach. First, it provides estimates for site-specific cancer risks that are largely uncorrelated, whereas the breast and ovarian cancer risk estimates derived by the maximum-LOD-score approach in multiple-case families tend to be strongly correlated. Second, relative to the maximum-LOD-score approach, the estimates at early ages, when the risks are low, are more precise. A major disadvantage of this approach is that the prevalence of mutations in unselected case series is low and, therefore, very large numbers of cases need to be tested to provide precise estimates. Thus, the studies in the present analysis included 18,000 index cases, yielding 282 *BRCA1* and 218 *BRCA2* mutations. Despite this, the width of many of the confidence limits still exceeds 10%.

Another important issue is the accuracy of reporting

of family history. Although some of the studies did attempt to confirm cancer diagnoses in relatives, this was not always possible, and only three studies were able to identify routinely all cancer diagnoses in relatives through national records. In an attempt to minimize the effects of inaccurate reporting, we restricted our main analyses to first-degree relatives. Previous studies (e.g., Claus et al. 1998) have found that reporting of cancer in more-distant relatives is less accurate. Although data on more-distant relatives were easily incorporated in the analysis, we found that some of the penetrance estimates were higher. This suggests either inaccurate reporting of cancer diagnoses or incompleteness in the enumeration of relatives that correlated with the extent of family history.

The techniques used for mutation detection in the different studies—and, therefore, their sensitivity to detect mutations of different types—varied widely. Certain studies tested only for specific founder mutations (T300G, 185delAG, 4158delA, and 5382insC in *BRCA1;* 999del5 and 6174delT in *BRCA2*), but these mutations still represent a minority of the total set. Most of the groups used screening techniques that are most sensitive for small deletions and insertions, so these will be overrepresented in the data set; however, these are the mutations that account for the majority of mutations in families with linkage, and they represent the most important mutation type encountered in genetic testing. Since we restricted the present analysis to mutation types generally regarded as pathogenic, almost all the mutations are predicted to be protein truncating (the only exception being the T300G mutation in the ring finger of *BRCA1*). Thus, although these results are likely to be applicable generally to protein-truncating mutations, they will not be applicable to missense changes.

In a small number of families, carrier status of relatives was available, and we were able to incorporate this into the analysis. In most cases, however, carrier status had to be assigned probabilistically. The method relies on the assumption of Mendelian segregation of the mutation which seems reasonable but also ignores the possibility of new mutation events. However, few new mutations in *BRCA1* or *BRCA2* have been reported, and the newmutation rate is generally assumed to be low.

Overall Estimates

The overall estimates confirm most of the qualitative features, of age-specific risks in *BRCA1-* and *BRCA2* mutation carriers, that have been suggested from studies based on multiple-case families or individual population studies, but with much more precise quantification. Thus, the average risks of both breast and ovarian cancer are higher in *BRCA1-*mutation carriers than in *BRCA2-*mutation carriers, but the difference is much more marked

for ovarian cancer and for breast cancer at earlier ages than for breast cancer at >50 years of age. The RR of breast cancer in *BRCA1-*mutation carriers, relative to general population rates, declines with age from >30-fold at \leq 40 years of age to 14-fold at \geq 60 years of age; by contrast, the RR in *BRCA2-*mutation carriers is ∼11-fold in all age groups at >40 years of age and is not significantly higher at earlier ages. As a consequence of this, the incidences in *BRCA1-*mutation carriers rise to a plateau of ∼3%–4% per annum in the 40–49-years age group and are roughly constant thereafter, whereas the *BRCA2* rates show a pattern similar to that in the general population, rising steeply up to age 50 years and more slowly thereafter.

Ovarian cancer risks in *BRCA1-*mutation carriers were low (in absolute terms) at <40 years of age (no cases at all were observed at <30 years of age). Thereafter, the incidences were ∼1% at 40–59 years of age and 2% at >60 years of age (the latter estimate is, however, particularly imprecise, since there are relatively few unaffected carriers in this age group). Ovarian cancer risks in *BRCA2-*mutation carriers are, in contrast, very low at <50 years of age but then increase sharply in the 50–59-years age group, perhaps declining somewhat thereafter. These differences in age-specific risks are mirrored by other important differences in the pathological characteristics of tumors in carriers (e.g., the estrogen-receptor–negative status of most breast tumors in *BRCA1-*mutation carriers but not in *BRCA2-*mutation carriers) and must reflect some important functional differences between the two proteins.

Absolute versus Relative Risk Models—and Cohort and Center Effects

We chose to model the *BRCA1* and *BRCA2* penetrances primarily in terms of RRs compared to a single set of background rates (those for England and Wales), thus estimating a single set of incidences for carriers from all populations. We also performed an alternative analysis in which the penetrance was expressed in terms of RRs relative to the population-specific incidences (so that the absolute risks would be higher in populations with higher background incidence rates). Such a model may be more appropriate if risks in carriers were modified by important lifestyle risk factors to a similar (relative) extent as in noncarriers. In fact, we found little evidence to favor one model over the other. Although we did find some evidence of variation in penetrance among populations, this did not correlate directly with population rates—for example, breast cancer risks were lower in families from the Polish center than in those from the U.K. centers but were similarly lower in families from the Canadian centers.

We found that year of birth had a marked effect on

breast cancer risk in *BRCA1,* with a slightly weaker (and nonsignificant) effect in *BRCA2-*mutation carriers and for ovarian cancer risk in *BRCA1-*mutation carriers. The breast cancer effect was slightly weaker when analyses were performed relative to cohort-specific background rates but was still highly significant. Most of this effect was due to a markedly lower risk in women born before 1920. A possible explanation for this effect is the incomplete reporting of cancers among women born in this generation. In practice, the before-1920 birth cohort is not relevant to current genetic counselling, and exclusion of this birth cohort from the present analysis made little difference to the overall penetrance estimates (data not shown). There was also some evidence of a higher breast cancer risk in the 1960-onward birth cohort. This result seems less likely to be due to the underreporting of cancers in relatives. It could conceivably reflect changing patterns of reproductive risk factors, such as age at first pregnancy, breast feeding, or oral contraceptive use. Changes in screening practices may also account for some of the cohort effect. This will require more-detailed investigation.

Type of Index Case

We found some evidence of variation in penetrance estimates according to the type of index case. The breast cancer risk estimates for both *BRCA1-* and *BRCA2* mutation carriers were higher when the index case was a breast cancer case, rather than an ovarian cancer case, and were markedly higher when the index case was a breast cancer case diagnosed at <35 years of age. A similar effect has been reported previously (Eccles et al. 1994). The ovarian cancer risks in *BRCA1-*mutation carriers were also highest in families selected on the basis of a breast cancer index case diagnosed at $\langle 35 \rangle$ years of age, but, for *BRCA2-*mutation carriers, the risks were higher when based on ovarian cancer index cases (albeit with wide confidence limits). Such differences in penetrance estimates are generally consistent with the hypothesis that other genes modify risks in carriers. Alleles conferring a higher risk of breast cancer will be more frequent among index cases diagnosed at earlier ages, leading to higher breast cancer risks in carriers' relatives. The more complicated pattern of ovarian cancer risk in *BRCA1-*mutation carriers may be explicable if some modifiers of breast cancer risk also modified ovarian cancer risk. No genetic modifiers have been definitively implicated yet, although several have been suggested; these include, for breast cancer, the lengths of triplet repeats in the androgen-receptor (Rebbeck et al. 1999) and *AIB1* (Rebbeck et al. 2001) genes and polymorphisms in the progesterone-receptor (Runnebaum et al. 2001) and (for *BRCA2*) *RAD51* (Levy-Lahad et al. 2001) genes. Rare alleles at the

HRAS1 minisatellite locus have been suggested to be associated with ovarian cancer risk in *BRCA1-*mutation carriers (Phelan et al. 1996).

Mutation-Specific Risks

Mutation-specific differences in risk have been suggested for both *BRCA1* and *BRCA2* from differential cancer risks in multiple-case families, and we were able to test these hypotheses in this data set. In the case of *BRCA2,* Thompson and Easton (2001) have found a higher risk of ovarian cancer but a lower risk of breast cancer in carriers of mutations in the ovarian cancer cluster region. The RR estimates from the current data set were of a similar magnitude (0.57 for breast cancer and 2.1 for ovarian cancer), but neither was significant. Furthermore, the ovarian cancer effect disappeared once the 999del5 and 6174delT mutations were removed from the analysis. In the case of *BRCA1,* Thompson and Easton (2002) have found a lower risk of breast cancer associated with mutations in a central region of the gene (nucleotides 2401–4184), together with a lower risk of ovarian cancer for mutations 3 of nucleotide 4184. The estimate RRs from our data set were in the same direction (and, in the case of the breast cancer risk, of a similar magnitude) as those reported by Thompson and Easton (2002), but neither effect was statistically significant. Although the consistency of these results is reassuring, they emphasize that detailed analyses of mutation-specific risks can be achieved only through studies of multiplecase families.

Conclusions

The present analysis has provided breast and ovarian cancer risks in *BRCA1-* and *BRCA2-*mutation carriers that are based on the majority of available data from studies of mutation screening in series of patients with breast and ovarian cancer who were unselected for family history. It has confirmed that the lifetime risks based on this design are lower than those based on high-risk families, suggestive of some modification of risk by other factors, but the differences are smaller than has been suggested by some previous studies. The variation in risks by type of index case and by age at diagnosis of index case is also suggestive of risk modifiers. Risk estimates for counselling should take into account both mutation status and family history, as well as other risk factors once their effects become reliably known.

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Electronic-Database Information

URLs for data presented herein are as follows:

- Breast Cancer Information Core, http://research.nhgri.nih.gov/ bic/
- Online Mendelian Inheritance in Man (OMIM), http://www .ncbi.nlm.nih.gov/Omim/ (for *BRCA1* and *BRCA2*)

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